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ANTHONY F. HERBST, EL PASO, TX; WAYNE V. BERG, PHOENIX, AZ.

CONTINUING DOMESTIC DATA***

VERIFIED

YR

371 (NAT'L STAGE) DATA***

VERIFIED

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FOREIGN APPLICATIONS***

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Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	TX	11	32	6
Verified and Acknowledged	<u>YR</u> Examiner's Initials	Initials			

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DIGITAL COMPUTER SYSTEM AND METHODS FOR MANAGING AN AUCTION MARKET
FOR PREFERRED-RETURN SECURITIES

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APPLICANTS ANTHONY F. HERBST, EL PASO, TX; WAYNE F. PERG, PHOENIX, AZ; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 12/14/1998					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Verified and Acknowledged	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature _____ Initials _____	STATE OR COUNTRY TX	SHEETS DRAWING 11	TOTAL CLAIMS 32	INDEPENDENT CLAIMS 6
ADDRESS PETER K. TRZYNA PO BOX 7131 CHICAGO , IL 60680					
TITLE DIGITAL COMPUTER SYSTEM AND METHODS FOR MANAGING AN AUCTION MARKET FOR PREFERRED-RETURN SECURITIES					
FILING FEE RECEIVED 735	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		